

EXHIBIT A

BERNARD L. MADOFF INVESTMENT SECURITIES LLC

In Liquidation

DECEMBER 11, 2008¹

NOTICE OF TRUSTEE'S DETERMINATION OF CLAIM

October 19, 2009

Harold J. Hein (IRA)
[REDACTED]
[REDACTED]

Dear Harold J. Hein (IRA):

PLEASE READ THIS NOTICE CAREFULLY.

The liquidation of the business of BERNARD L. MADOFF INVESTMENT SECURITIES LLC ("BLMIS") is being conducted by Irving H. Picard, Trustee under the Securities Investor Protection Act, 15 U.S.C. § 78aaa *et seq.* ("SIPA"), pursuant to an order entered on December 15, 2008 by the United States District Court for the Southern District of New York.

The Trustee has made the following determination regarding your claim on BLMIS Account No. 1ZR192 designated as Claim Number 009824:

Your claim for securities is **DENIED**. No securities were ever purchased for your account.

Further, based on the Trustee's analysis, the amount of money you withdrew from your account at BLMIS (total of \$954,827.82), as more fully set forth in Table 1 annexed hereto and made a part hereof, is greater than the amount that was deposited with BLMIS for the purchase of securities (total of \$315,380.01). As noted, no securities were ever purchased by BLMIS for your account. Any and

¹ Section 78111(7)(B) of SIPA states that the filing date is "the date on which an application for a protective decree is filed under 78ecc(a)(3)," except where the debtor is the subject of a proceeding pending before a United States court "in which a receiver, trustee, or liquidator for such debtor has been appointed and such proceeding was commenced before the date on which such application was filed, the term 'filing date' means the date on which such proceeding was commenced." Section 78111(7)(B). Thus, even though the Application for a protective decree was filed on December 15, 2008, the Filing Date in this action is on December 11, 2008.

all profits reported to you by BLMIS on account statements were fictitious.

Since there were no profits to use either to purchase securities or to pay you any money beyond the amount that was deposited into your BLMIS account, the amount of money you received in excess of the deposits in your account (\$639,447.81) was taken from other customers and given to you. Accordingly, because you have withdrawn more than was deposited into your account, you do not have a positive "net equity" in your account and you are not entitled to an allowed claim in the BLMIS liquidation proceeding. Therefore, your claim is **DENIED** in its entirety.

Should a final and unappealable court order determine that the Trustee is incorrect in his interpretation of "net equity" and its corresponding application to the determination of customer claims, the Trustee will be bound by that order and will apply it retroactively to all previously determined customer claims in accordance with the Court's order. Nothing in this Notice of Trustee's Determination of Claim shall be construed as a waiver of any rights or claims held by you in having your customer claim re-determined in accordance with any such Court order.

Nothing in this Notice of Trustee's Determination of Claim shall be construed as a waiver of any rights or claims held by the Trustee against you.

PLEASE TAKE NOTICE: If you disagree with this determination and desire a hearing before Bankruptcy Judge Burton R. Lifland, you **MUST** file your written opposition, setting forth the grounds for your disagreement, referencing Bankruptcy Case No. 08-1789 (BRL) and attaching copies of any documents in support of your position, with the United States Bankruptcy Court **and** the Trustee within **THIRTY DAYS** after October 19, 2009, the date on which the Trustee mailed this notice.

PLEASE TAKE FURTHER NOTICE: If you do not properly and timely file a written opposition, the Trustee's determination with respect to your claim will be deemed confirmed by the Court and binding on you.

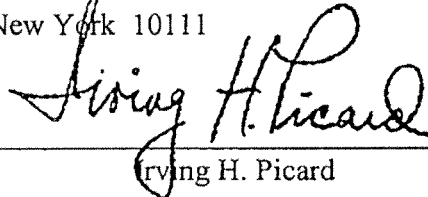
PLEASE TAKE FURTHER NOTICE: If you properly and timely file a written opposition, a hearing date for this controversy will be obtained by the Trustee and you will be notified of that hearing date. Your failure to appear personally or through counsel at such hearing will result in the Trustee's determination with respect to your claim being confirmed by the Court and binding on you.

PLEASE TAKE FURTHER NOTICE: You must mail your opposition, if any, in accordance with the above procedure, to each of the following addresses:

Clerk of the United States Bankruptcy Court for
the Southern District of New York
One Bowling Green
New York, New York 10004

and

Irving H. Picard, Trustee
c/o Baker & Hostetler LLP
45 Rockefeller Plaza
New York, New York 10111



Irving H. Picard

Trustee for the Liquidation of the Business of
Bernard L. Madoff Investment Securities LLC

cc: NTC & Co., FBO Harold J. Hein (IRA)
PO Box 173859
Denver, Colorado 80217

Carole Neville, Esq.
Sonnenschein Nath & Rosenthal LLP
1221 Avenue of the Americas, 25th Floor
New York, NY 10020

DEPOSITS		
DATE	TRANSACTION DESCRIPTION	AMOUNT
4/13/1993	CHECK	\$266,020.18
2/7/1994	CHECK	\$12,778.29
12/27/1994	CHECK	\$14,489.12
12/17/1996	CHECK	\$22,092.42
Total Deposits:		\$315,380.01
WITHDRAWALS		
DATE	TRANSACTION DESCRIPTION	AMOUNT
12/27/1993	CHECK	(\$12,778.29)
12/13/1994	CHECK	(\$14,489.12)
11/26/1996	CHECK	(\$22,084.51)
2/5/2002	CHECK	(\$60,000.00)
4/17/2003	CHECK	(\$75,000.00)
9/28/2004	CHECK	(\$68,987.30)
2/2/2005	CHECK	(\$70,000.00)
10/14/2005	CHECK	(\$76,500.00)
5/12/2006	CHECK	(\$100,000.00)
12/26/2006	CHECK	(\$100,025.00)
1/8/2007	CHECK	(\$15,000.00)
5/1/2007	CHECK	(\$67,338.60)
6/6/2007	CHECK	(\$37,500.00)
8/17/2007	CHECK	(\$25,025.00)
8/23/2007	CHECK	(\$100.00)
10/24/2007	CHECK	(\$30,000.00)
1/7/2008	CHECK	(\$114,000.00)
6/13/2008	CHECK	(\$35,000.00)
10/8/2008	CHECK	(\$31,000.00)
Total Withdrawals:		(\$954,827.82)
Total deposits less withdrawals:		(\$639,447.81)

EXHIBIT B

COPY

CUSTOMER CLAIM

Claim Number _____

Date Received _____

BERNARD L. MADOFF INVESTMENT SECURITIES LLC **RECEIVED**

In Liquidation

JUN 17 2009

DECEMBER 11, 2008

Irving H. Picard, Esq.
Trustee for Bernard L. Madoff Investment Securities LLC
Claims Processing Center
2100 McKinney Ave., Suite 800
Dallas, TX 75201

Provide your office and home telephone no.

OFFICE: (212) 768-6889

HOME: _____

Taxpayer I.D. Number (Social Security No.)

Account Number: 1-ZR192-3 & 1-ZR192-4

Harold J. Hein

(If incorrect, please change)

NOTE: BEFORE COMPLETING THIS CLAIM FORM, BE SURE TO READ CAREFULLY THE ACCOMPANYING INSTRUCTION SHEET. A SEPARATE CLAIM FORM SHOULD BE FILED FOR EACH ACCOUNT AND, TO RECEIVE THE FULL PROTECTION AFFORDED UNDER SIPA, ALL CUSTOMER CLAIMS MUST BE RECEIVED BY THE TRUSTEE ON OR BEFORE March 4, 2009. CLAIMS RECEIVED AFTER THAT DATE, BUT ON OR BEFORE July 2, 2009, WILL BE SUBJECT TO DELAYED PROCESSING AND TO BEING SATISFIED ON TERMS LESS FAVORABLE TO THE CLAIMANT. PLEASE SEND YOUR CLAIM FORM BY CERTIFIED MAIL - RETURN RECEIPT REQUESTED.

-
1. Claim for money balances as of **December 11, 2008**_:
- a. The Broker owes me a Credit (Cr.) Balance of \$ _____
- b. I owe the Broker a Debit (Dr.) Balance of \$ _____

information regarding any withdrawals you have ever made or payments received from the Debtor.

Please explain any differences between the securities or cash claimed and the cash balance and securities positions on your last account statement. If, at any time, you complained in writing about the handling of your account to any person or entity or regulatory authority, and the complaint relates to the cash and/or securities that you are now seeking, please be sure to provide with your claim copies of the complaint and all related correspondence, as well as copies of any replies that you received.
PLEASE CHECK THE APPROPRIATE ANSWER FOR ITEMS 3 THROUGH 9.

NOTE: IF "YES" IS MARKED ON ANY ITEM, PROVIDE A DETAILED EXPLANATION ON A SIGNED ATTACHMENT. IF SUFFICIENT DETAILS ARE NOT PROVIDED, THIS CLAIM FORM WILL BE RETURNED FOR YOUR COMPLETION.

- | | <u>YES</u> | <u>NO</u> |
|---|------------|-----------|
| 3. Has there been any change in your account since December 11, 2008? If so, please explain. | _____ | _____✓ |
| 4. Are you or were you a director, officer, partner, shareholder, lender to or capital contributor of the broker? | _____ | _____✓ |
| 5. Are or were you a person who, directly or indirectly and through agreement or otherwise, exercised or had the power to exercise a controlling influence over the management or policies of the broker? | _____ | _____✓ |
| 6. Are you related to, or do you have any business venture with, any of the persons specified in "4" above, or any employee or other person associated in any way with the broker? If so, give name(s) | _____ | _____✓ |
| 7. Is this claim being filed by or on behalf of a broker or dealer or a bank? If so, provide documentation with respect to each public customer on whose behalf you are claiming. | _____ | _____✓ |
| 8. Have you ever given any discretionary authority to any person to execute securities transactions with or through the broker on your behalf? Give names, addresses and phone numbers. | _____ | _____✓ |

- c. If you wish to repay the Debit Balance,
please insert the amount you wish to repay and
attach a check payable to "Irving H. Picard, Esq.,
Trustee for Bernard L. Madoff Investment Securities LLC."
If you wish to make a payment, **it must be enclosed**
with this claim form. \$ _____

- d. If balance is zero, insert "None." NONE

2. Claim for securities as of **December 11, 2008:**

PLEASE DO NOT CLAIM ANY SECURITIES YOU HAVE IN YOUR POSSESSION.

- | | <u>YES</u> | <u>NO</u> |
|---|-----------------|-----------------|
| a. The Broker owes me securities | <u>✓</u> | <u> </u> |
| b. I owe the Broker securities | <u> </u> | <u>✓</u> |
| c. If yes to either, please list below: | | |

		<u>Number of Shares or Face Amount of Bonds</u>	
<u>Date of Transaction (trade date)</u>	<u>Name of Security</u>	<u>The Broker Owes Me (Long)</u>	<u>I Owe the Broker (Short)</u>
<u>SEE STATEMENT DATED NOVEMBER 30, 2008</u>		<u>✓</u>	<u> </u>
<u> </u>	<u> </u>	<u> </u>	<u> </u>
<u> </u>	<u> </u>	<u> </u>	<u> </u>
<u> </u>	<u> </u>	<u> </u>	<u> </u>
<u> </u>	<u> </u>	<u> </u>	<u> </u>

Proper documentation can speed the review, allowance and satisfaction of your claim and shorten the time required to deliver your securities and cash to you. Please enclose, if possible, copies of your last account statement and purchase or sale confirmations and checks which relate to the securities or cash you claim, and any other documentation, such as correspondence, which you believe will be of assistance in processing your claim. In particular, you should provide all documentation (such as cancelled checks, receipts from the Debtor, proof of wire transfers, etc.) of your deposits of cash or securities with the Debtor from as far back as you have documentation. You should also provide all documentation or

9. Have you or any member of your family ever filed a claim under the Securities Investor Protection Act of 1970? if so, give name of that broker. ✓

Please list the full name and address of anyone assisting you in the preparation of this claim form: Carole Neville, Sonnenschein Nath & Rosenthal LLP, 1221 Avenue of the Americas, 25th Floor, New York, NY 10020.

If you cannot compute the amount of your claim, you may file an estimated claim. In that case, please indicate your claim is an estimated claim.

IT IS A VIOLATION OF FEDERAL LAW TO FILE A FRAUDULENT CLAIM. CONVICTION CAN RESULT IN A FINE OF NOT MORE THAN \$50,000 OR IMPRISONMENT FOR NOT MORE THAN FIVE YEARS OR BOTH.

THE FOREGOING CLAIM IS TRUE AND ACCURATE TO THE BEST OF MY INFORMATION AND BELIEF. *

Date 6/4/2009 Signature [Signature]
Date _____ Signature _____

(If ownership of the account is shared, all must sign above. Give each owner's name, address, phone number, and extent of ownership on a signed separate sheet. If other than a personal account, e.g., corporate, trustee, custodian, etc., also state your capacity and authority. Please supply the trust agreement or other proof of authority.)

This customer claim form must be completed and mailed promptly, together with supporting documentation, etc. to:

Irving H. Picard, Esq.,
Trustee for Bernard L. Madoff Investment Securities LLC
Claims Processing Center
2100 McKinney Ave., Suite 800
Dallas, TX 75201

* This form includes and incorporates in full the attached Addendum. Customer reserves the right to amend the claim for any purpose, including without limitation, to add interest, costs and other losses associated with this account.

ADDENDUM

Customer: Harold J. Hein

Address: [REDACTED]
[REDACTED]

Account #: 1-ZR192-3
1-ZR192-4

November 30, 2008 Statement – Closing balance net equity \$1,025,200.88

11/13/09
11/13/09
11/13/09

365 Third Avenue
New York, NY 10022
(212) 230-2124
300 314-1415
FAX (212) 348-1061

BERNARD L. MADOFF
SEC INVESTMENT MANAGEMENT LTD
New York London
DUPLICATE FOR ACCOUNT NYC 6 07
HAROLD J. PHIN

11/13/09
11/13/09
11/13/09

DATE	AMOUNT DEBITED TO YOUR ACCOUNT	DATE	AMOUNT CREDITED TO YOUR ACCOUNT	DESCRIPTION	DATE	AMOUNT DEBITED TO YOUR ACCOUNT	DATE	AMOUNT CREDITED TO YOUR ACCOUNT
11/17	274			WELLS FARGO & CO NEW	11/21	100.000	11/17	274.000
11/17	660			WELLS FARGO & CO NEW	11/21	14.000	11/17	660.000
11/17	173			WELLS FARGO & CO NEW	11/21	55.000	11/17	173.000
11/17	276			WELLS FARGO & CO NEW	11/21	57.000	11/17	276.000
11/17	19316			WELLS FARGO & CO NEW	11/21	72.000	11/17	19316.000
11/17	19317			WELLS FARGO & CO NEW	11/21	14.000	11/17	19317.000
11/17	726			WELLS FARGO & CO NEW	11/21	59.000	11/17	726.000
11/17	332			WELLS FARGO & CO NEW	11/21	39.000	11/17	332.000
11/17	274			WELLS FARGO & CO NEW	11/21	44.000	11/17	274.000
11/17	208			WELLS FARGO & CO NEW	11/21	55.000	11/17	208.000
11/17	122			WELLS FARGO & CO NEW	11/21	20.000	11/17	122.000
11/17	29070			WELLS FARGO & CO NEW	11/21	21.000	11/17	29070.000
11/17	19255			WELLS FARGO & CO NEW	11/21	17.000	11/17	19255.000
11/17	418			WELLS FARGO & CO NEW	11/21	54.000	11/17	418.000
11/17	242			WELLS FARGO & CO NEW	11/21	100.000	11/17	242.000
11/17	19782			WELLS FARGO & CO NEW	11/21	16.000	11/17	19782.000
11/17	618			WELLS FARGO & CO NEW	11/21	56.000	11/17	618.000
11/17	772			WELLS FARGO & CO NEW	11/21	44.000	11/17	772.000
11/17	19783			WELLS FARGO & CO NEW	11/21	59.000	11/17	19783.000
11/17	19784			WELLS FARGO & CO NEW	11/21	20.000	11/17	19784.000
11/17	19785			WELLS FARGO & CO NEW	11/21	54.000	11/17	19785.000
11/17	19786			WELLS FARGO & CO NEW	11/21	100.000	11/17	19786.000
11/17	19787			WELLS FARGO & CO NEW	11/21	16.000	11/17	19787.000
11/17	19788			WELLS FARGO & CO NEW	11/21	56.000	11/17	19788.000
11/17	19789			WELLS FARGO & CO NEW	11/21	44.000	11/17	19789.000
11/17	19790			WELLS FARGO & CO NEW	11/21	59.000	11/17	19790.000
11/17	19791			WELLS FARGO & CO NEW	11/21	20.000	11/17	19791.000
11/17	19792			WELLS FARGO & CO NEW	11/21	54.000	11/17	19792.000
11/17	19793			WELLS FARGO & CO NEW	11/21	100.000	11/17	19793.000
11/17	19794			WELLS FARGO & CO NEW	11/21	16.000	11/17	19794.000
11/17	19795			WELLS FARGO & CO NEW	11/21	56.000	11/17	19795.000
11/17	19796			WELLS FARGO & CO NEW	11/21	44.000	11/17	19796.000
11/17	19797			WELLS FARGO & CO NEW	11/21	59.000	11/17	19797.000
11/17	19798			WELLS FARGO & CO NEW	11/21	20.000	11/17	19798.000
11/17	19799			WELLS FARGO & CO NEW	11/21	54.000	11/17	19799.000
11/17	19800			WELLS FARGO & CO NEW	11/21	100.000	11/17	19800.000
11/17	19801			WELLS FARGO & CO NEW	11/21	16.000	11/17	19801.000
11/17	19802			WELLS FARGO & CO NEW	11/21	56.000	11/17	19802.000
11/17	19803			WELLS FARGO & CO NEW	11/21	44.000	11/17	19803.000
11/17	19804			WELLS FARGO & CO NEW	11/21	59.000	11/17	19804.000
11/17	19805			WELLS FARGO & CO NEW	11/21	20.000	11/17	19805.000
11/17	19806			WELLS FARGO & CO NEW	11/21	54.000	11/17	19806.000
11/17	19807			WELLS FARGO & CO NEW	11/21	100.000	11/17	19807.000
11/17	19808			WELLS FARGO & CO NEW	11/21	16.000	11/17	19808.000
11/17	19809			WELLS FARGO & CO NEW	11/21	56.000	11/17	19809.000
11/17	19810			WELLS FARGO & CO NEW	11/21	44.000	11/17	19810.000
11/17	19811			WELLS FARGO & CO NEW	11/21	59.000	11/17	19811.000
11/17	19812			WELLS FARGO & CO NEW	11/21	20.000	11/17	19812.000
11/17	19813			WELLS FARGO & CO NEW	11/21	54.000	11/17	19813.000
11/17	19814			WELLS FARGO & CO NEW	11/21	100.000	11/17	19814.000
11/17	19815			WELLS FARGO & CO NEW	11/21	16.000	11/17	19815.000
11/17	19816			WELLS FARGO & CO NEW	11/21	56.000	11/17	19816.000
11/17	19817			WELLS FARGO & CO NEW	11/21	44.000	11/17	19817.000
11/17	19818			WELLS FARGO & CO NEW	11/21	59.000	11/17	19818.000
11/17	19819			WELLS FARGO & CO NEW	11/21	20.000	11/17	19819.000
11/17	19820			WELLS FARGO & CO NEW	11/21	54.000	11/17	19820.000
11/17	19821			WELLS FARGO & CO NEW	11/21	100.000	11/17	19821.000
11/17	19822			WELLS FARGO & CO NEW	11/21	16.000	11/17	19822.000
11/17	19823			WELLS FARGO & CO NEW	11/21	56.000	11/17	19823.000
11/17	19824			WELLS FARGO & CO NEW	11/21	44.000	11/17	19824.000
11/17	19825			WELLS FARGO & CO NEW	11/21	59.000	11/17	19825.000
11/17	19826			WELLS FARGO & CO NEW	11/21	20.000	11/17	19826.000
11/17	19827			WELLS FARGO & CO NEW	11/21	54.000	11/17	19827.000
11/17	19828			WELLS FARGO & CO NEW	11/21	100.000	11/17	19828.000
11/17	19829			WELLS FARGO & CO NEW	11/21	16.000	11/17	19829.000
11/17	19830			WELLS FARGO & CO NEW	11/21	56.000	11/17	19830.000
11/17	19831			WELLS FARGO & CO NEW	11/21	44.000	11/17	19831.000
11/17	19832			WELLS FARGO & CO NEW	11/21	59.000	11/17	19832.000
11/17	19833			WELLS FARGO & CO NEW	11/21	20.000	11/17	19833.000
11/17	19834			WELLS FARGO & CO NEW	11/21	54.000	11/17	19834.000
11/17	19835			WELLS FARGO & CO NEW	11/21	100.000	11/17	19835.000
11/17	19836			WELLS FARGO & CO NEW	11/21	16.000	11/17	19836.000
11/17	19837			WELLS FARGO & CO NEW	11/21	56.000	11/17	19837.000
11/17	19838			WELLS FARGO & CO NEW	11/21	44.000	11/17	19838.000
11/17	19839			WELLS FARGO & CO NEW	11/21	59.000	11/17	19839.000
11/17	19840			WELLS FARGO & CO NEW	11/21	20.000	11/17	19840.000
11/17	19841			WELLS FARGO & CO NEW	11/21	54.000	11/17	19841.000
11/17	19842			WELLS FARGO & CO NEW	11/21	100.000	11/17	19842.000
11/17	19843			WELLS FARGO & CO NEW	11/21	16.000	11/17	19843.000
11/17	19844			WELLS FARGO & CO NEW	11/21	56.000	11/17	19844.000
11/17	19845			WELLS FARGO & CO NEW	11/21	44.000	11/17	19845.000
11/17	19846			WELLS FARGO & CO NEW	11/21	59.000	11/17	19846.000
11/17	19847			WELLS FARGO & CO NEW	11/21	20.000	11/17	19847.000
11/17	19848			WELLS FARGO & CO NEW	11/21	54.000	11/17	19848.000
11/17	19849			WELLS FARGO & CO NEW	11/21	100.000	11/17	19849.000
11/17	19850			WELLS FARGO & CO NEW	11/21	16.000	11/17	19850.000
11/17	19851			WELLS FARGO & CO NEW	11/21	56.000	11/17	19851.000
11/17	19852			WELLS FARGO & CO NEW	11/21	44.000	11/17	19852.000
11/17	19853			WELLS FARGO & CO NEW	11/21	59.000	11/17	19853.000
11/17	19854			WELLS FARGO & CO NEW	11/21	20.000	11/17	19854.000
11/17	19855			WELLS FARGO & CO NEW	11/21	54.000	11/17	19855.000
11/17	19856			WELLS FARGO & CO NEW	11/21	100.000	11/17	19856.000
11/17	19857			WELLS FARGO & CO NEW	11/21	16.000	11/17	19857.000
11/17	19858			WELLS FARGO & CO NEW	11/21	56.000	11/17	19858.000
11/17	19859			WELLS FARGO & CO NEW	11/21	44.000	11/17	19859.000
11/17	19860			WELLS FARGO & CO NEW	11/21	59.000	11/17	19860.000
11/17	19861			WELLS FARGO & CO NEW	11/21	20.000	11/17	19861.000
11/17	19862			WELLS FARGO & CO NEW	11/21	54.000	11/17	19862.000
11/17	19863			WELLS FARGO & CO NEW	11/21	100.000	11/17	19863.000
11/17	19864			WELLS FARGO & CO NEW	11/21	16.000	11/17	19864.000
11/17	19865			WELLS FARGO & CO NEW	11/21	56.000	11/17	19865.000
11/17	19866			WELLS FARGO & CO NEW	11/21	44.000	11/17	19866.000
11/17	19867			WELLS FARGO & CO NEW	11/21	59.000	11/17	19867.000
11/17	19868			WELLS FARGO & CO NEW	11/21	20.000	11/17	19868.000
11/17	19869			WELLS FARGO & CO NEW	11/21	54.000	11/17	19869.000
11/17	19870			WELLS FARGO & CO NEW	11/21	100.000	11/17	19870.000
11/17	19871			WELLS FARGO & CO NEW	11/21	16.000	11/17	19871.000
11/17	19872			WELLS FARGO & CO NEW	11/21	56.000	11/17	19872.000
11/17	19873			WELLS FARGO & CO NEW	11/21	44.000	11/17	19873.000
11/17	19874			WELLS FARGO & CO NEW	11/21	59.000	11/17	19874.000
11/17	19875			WELLS FARGO & CO NEW	11/21	20.000	11/17	19875.000
11/17	19876			WELLS FARGO & CO NEW	11/21	54.000	11/17	19876.000
11/17	19877			WELLS FARGO & CO NEW	11/21	100.000	11/17	19877.000
11/17	19878			WELLS FARGO & CO NEW	11/21	16.000	11/17	19878.000
11/17	19879			WELLS FARGO & CO NEW	11/21	56.000	11/17	19879.000
11/17	19880			WELLS FARGO & CO NEW	11/21	44.000	11/17	19880.000
11/17	19881			WELLS FARGO & CO NEW	11/21	59.000	11/17	19881.000
11/17	19882			WELLS FARGO & CO NEW	11/21	20.000	11/17	19882.000
11/17	19883			WELLS FARGO & CO NEW	11/21	54.000	11/17	19883.000
11/17	19884			WELLS FARGO &				

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••DUPLICATE•• FOR ACCOUNT NTC & CO.
HAROLD J HEIN

PERIOD ENDING
11/30/09

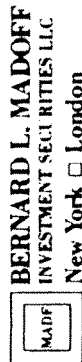
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YOUR ACCOUNT NUMBER
1-7R192-3-0

YOUR TAX PAYER IDENTIFICATION NUMBER
[REDACTED]

DATE	BOUGHT RECEIVED ON LONG	SOLD DELIVERED ON SHORT	TRN	DESCRIPTION	PRICE OR SYMBOL	AMOUNT DEBITED TO YOUR ACCOUNT	AMOUNT CREDITED TO YOUR ACCOUNT
11/12	330		75844	SCHLUMBERGER LTD	49.480	16,341.40	
11/12	792		76346	COMCAST CORP	16.510	13,106.92	
11/12	1,562		80170	CL A	27	42,236.00	
11/12	396		80672	AT&T INC	52.510	20,808.96	
11/12	264		84496	CONOCOPHILIPS	52.040	13,748.56	
11/12	1,606		84998	UNITED PARCEL SVC INC	16.730	26,932.38	
11/12	462		88822	CLASS B	29.530	13,660.86	
11/12	550		89324	CISCO SYSTEMS INC	73.430	40,408.50	
11/12	264		93148	U S BANCORP	53.160	14,044.24	
11/12	2,794		93650	CHEVRON CORP	19.630	54,957.22	
11/12	748		97474	UNITED TECHNOLOGIES CORP	30.410	22,775.68	
11/12	66		97976	GENERAL ELECTRIC CO	337.400	22,270.40	
11/12		1,000,000	24407	VERIZON COMMUNICATIONS	99.936		999,360.1
				GOOGLE			3.1
				U S TREASURY BILL			
				DUE 2/12/2009			
				2/12/2009			
11/12				FIDELITY SPARTAN	DIV		
				U S TREASURY MONEY MARKET			
11/12		6,356	19511	DIV 11/12/08	1		6,356.1
				FIDELITY SPARTAN			
11/12				U S TREASURY MONEY MARKET			
				FIDELITY SPARTAN			
11/12	4,944		28879	U S TREASURY MONEY MARKET	1	4,944.00	
				FIDELITY SPARTAN			
11/19				U S TREASURY MONEY MARKET	DIV		
				DIV 11/19/08			
				CONTINUED ON PAGE 3			

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DATE	BOUGHT RECEIVED OR LONG	SOLD DELIVERED OR SHORT	TRN	DESCRIPTION	PRICE OR SYMBOL	AMOUNT DEBITED TO YOUR ACCOUNT	AMOUNT CREDITED TO YOUR ACCOUNT
11/19		4,944	54427	FIDELITY SPARTAN	1		4,944.00
11/19	50,000		58829	U S TREASURY MONEY MARKET	99.926	49,963.00	
				U S TREASURY BILL			
				DUE 03/26/2009			
11/19	20,893		63463	FIDELITY SPARTAN	1	20,893.00	
				U S TREASURY MONEY MARKET			
				NEW BALANCE		124,170.34	
				SECURITY POSITIONS			
	1,562			AT&T INC	MKT PRICE		
	418			ABBOTT LABORATORIES	28.560		
	286			AMGEN INC	52.390		
	242			APPLE INC	55.540		
	1,320			BANK OF AMERICA	92.670		
	550			CHEVRON CORP	16.250		
	1,606			CISCO SYSTEMS INC	79.010		
	1,430			CITI GROUP INC	16.540		
	528			COCA COLA CO	8.290		
	792			COMCAST CORP	46.870		
				CL A	17.340		
	396			CONOCOPHILIPS	52.520		
	1,385			EXXON MOBIL CORP	80.150		
	2,794			GENERAL ELECTRIC CO	17.170		
				CONTINUED ON PAGE 4			

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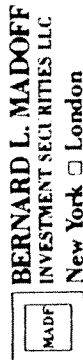
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DATE	BOUGHT RECEIVED OR LONG	SOLD DELIVERED OR SHORT	TRN	DESCRIPTION	PRICE OR SYMBOL	AMOUNT DEBITED TO YOUR ACCOUNT	AMOUNT CREDITED TO YOUR ACCOUNT
	66			GOOGLE	292.960		
	660			HEWLETT PACKARD CO	35.280		
	1,518			INTEL CORP	13.800		
	374			INTERNATIONAL BUSINESS MACHS	91.600		
	990			J-P. MORGAN CHASE & CO	31.660		
	726			JOHNSON & JOHNSON	58.580		
	308			MCDONALDS CORP	58.750		
	572			MERCK & CO	26.720		
	2,090			MICROSOFT CORP	20.220		
	1,056			ORACLE CORPORATION	16.090		
	418			PEPSICO INC	56.700		
	1,782			Pfizer Inc	16.430		
	550			PHILLIP MORRIS INTERNATIONAL	42.160		
	792			PROCTER & GAMBLE CO	64.350		
	440			QUALCOMM INC	33.570		
	330			SCHLUMBERGER LTD	50.740		
	20,893			FIDELITY SPARTAN	1		
				U S TREASURY MONEY MARKET			
	462			U S BANCORP	26.980		
	264			UNITED PARCEL SVC INC	57.600		
	50,000			CLASS B	99.971		
				U S TREASURY BILL			
				DUE 03/26/2009			
				3/26/2009			
	264			UNITED TECHNOLOGIES CORP	48.530		
				CONTINUED ON PAGE 5			

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PERIOD ENDING 11/30/08	PAGE 5
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YOUR TAX PAYER IDENTIFICATION NUMBER [REDACTED]	

DATE	BOUGHT RECEIVED OR LONG	SOLD DELIVERED OR SHORT	TRN	DESCRIPTION	PRICE OR SYMBOL	AMOUNT DEBITED TO YOUR ACCOUNT	AMOUNT CREDITED TO YOUR ACCOUNT
	748 572 924			VERIZON COMMUNICATIONS WAL-MART STORES INC WELLS FARGO & CO NEW MARKET VALUE OF SECURITIES LONG 1,040,160.88	32.650 55.880 28.890		

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DATE	BOUGHT RECEIVED OR LONG	SOLD DELIVERED OR SHORT	TRIN	DESCRIPTION	PRICE OR SYMBOL	AMOUNT CREDITED TO YOUR ACCOUNT	AMOUNT CREDITED TO YOUR ACCOUNT
				YEAR-TO-DATE SUMMARY DIVIDENDS GROSS PROCEEDS FROM SALES			7,381- 6,129,777-

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DATE	BOUGHT RECEIVED OR LONG	SOLD DELIVERED OR SHORT	TRN	DESCRIPTION	PRICE OR SYMBOL	AMOUNT DEBITED TO YOUR ACCOUNT	AMOUNT CREDITED TO YOUR ACCOUNT
11/12				BALANCE FORWARD			52,703.00
11/12	22		45562	S E P 100 INDEX NOVEMBER 460 CALL	15.800		34,738.00
11/19			49888	S E P 100 INDEX NOVEMBER 450 PUT	17.900	39,183.00	
11/19			35992	S E P 100 INDEX DECEMBER 430 CALL	26		57,178.00
11/19	22		40317	S E P 100 INDEX DECEMBER 420 PUT	30	66,022.00	
11/19	22		44642	S E P 100 INDEX NOVEMBER 460 CALL	3	6,622.00	
11/19			48967	S E P 100 INDEX NOVEMBER 450 PUT	37		81,378.00
				NEW BALANCE			124,171.00
				SECURITY POSITIONS			
				S E P 100 INDEX DECEMBER 430 CALL	MKT PRICE 23.300		
	22			S E P 100 INDEX DECEMBER 420 PUT	16.500		
				MARKET VALUE OF SECURITIES			
				LONG			
				36,300.00			
				SHORT			
				51,260.00-			

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